HAWKINS

Securities Law Update

Webinar hosted by the National Association of State Treasurers

July 2022

Brian Garzione led the discussion on the SEC Staff Legal Bulletin (the "SLB") and the SEC Statement on COVID-19 disclosure.

The SLB summarizes certain previous SEC statements regarding the application of the antifraud provisions of the federal securities laws.

The SEC Statement on COVID-19 disclosure provides guidance on voluntary disclosure relating to the COVID-19 pandemic.

See Hawkins Advisories on both subjects:

SEC Staff Guidance Regarding Secondary Market Disclosure

SEC Statement on Disclosure by Municipal Issuers regarding the Impact of COVID-19

Attorney

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